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| OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET | | | EXAMINER | | |
| | | | DEAN, RAYMOND S | | |
| ALEXANDRIA, VA 22314 | | | ART UNIT | PAPER NUMBER | |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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| | | Application No. | App | licant(s) | | | |
|---|--|---|---|--|--|--|--|
| Office Action Summary | | 09/832,895 | SAT | SATO ET AL. | | | |
| | | Examiner | Art | Unit | | | |
| | | Raymond S. Dean | 2618 | 3 | | | |
| The MAILING Period for Reply | The MAILING DATE of this communication appears on the cover sheet with the correspondence address | | | | | | |
| WHICHEVER IS LC - Extensions of time may b after SIX (6) MONTHS fro - If NO period for reply is s - Failure to reply within the Any reply received by the | ATUTORY PERIOD FOR REPLY NGER, FROM THE MAILING DA e available under the provisions of 37 CFR 1.13 in the mailing date of this communication. Decified above, the maximum statutory period we set or extended period for reply will, by statute, Office later than three months after the mailing ment. See 37 CFR 1.704(b). | ATE OF THIS COM 36(a). In no event, however ill apply and will expire SIX cause the application to b | IMUNICATION. r, may a reply be timely file ((6) MONTHS from the ma ecome ABANDONED (35 to | d iling date of this communication. J.S.C. § 133). | | | |
| Status | | | | | | | |
| 2a)⊠ This action is 3)□ Since this app | o communication(s) filed on <u>23 Oc</u> FINAL 2b) ☐ This plication is in condition for allowant ordance with the practice under E | action is non-final. | · / | | | | |
| Disposition of Claims | | | | | | | |
| 4) Claim(s) 1-9,11-13 and 15-19 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 1-9,11-13 and 15-19 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. | | | | | | | |
| Application Papers | | | | | | | |
| 10)⊠ The drawing(s Applicant may i Replacement d | on is objected to by the Examiner of its on 01 April 2001 is/are: a) on the contract of the correction of the Examina of the correction of the Examina of th | ☑ accepted or b)[drawing(s) be held in on is required if the o | abeyance. See 37 C Irawing(s) is objected | FR 1.85(a). to. See 37 CFR 1.121(d). | | | |
| Priority under 35 U.S.C | C. § 119 | | | | | | |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
| Attachment(s) | | | | | | | |
| | s Patent Drawing Review (PTO-948) Statement(s) (PTO/SB/08) | 5) <u> </u> | erview Summary (PTO- per No(s)/Mail Date tice of Informal Patent A her: | <u> </u> | | | |

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DETAILED ACTION

Response to Arguments

1. Applicant's arguments with respect to claims 1, 12, 16 have been considered but are most in view of the new ground(s) of rejection.

Typical wireless systems such as the one in Marturano and in Kumar comprise the transmission of control signals between the radio terminals and base stations for the purpose of establishing a communication link for the purpose transferring voice and data traffic.

Schramm et al. (US 6,542,742) teaches receiving a level of a control signal sent from radio terminals at a time of initial connection between said radio terminals and a base station, the received level being measured (Col. 3 lines 34 - 37, control signals are transmitted on the broadcast control channel). Kumar (Col. 7 lines 66 – 67, Column 8 lines 1 – 4) and Schramm both teach determining the closest transmitter or device thus it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the above method of Schramm as an alternative means for determining the closest device.

Claim Rejections - 35 USC § 103

- 2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and

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the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

3. Claims 1-9, 11-13, and 15-19 are rejected under 35 U.S.C. 102(b) as being unpatentable over Marturano et al. (5,636,230) in view of Kumar (US 6,269,080) and in further view of Schramm et al. (US 6,542,742)

Regarding Claim 1, Marturano teaches a retransmission control method in a multicast service providing system in which an information delivery apparatus transmits multicast information to radio terminals within a service area of the information delivery apparatus via a radio section (Figure 1, Column 2 lines 43 – 52), some of the radio terminals being configured to send a request for retransmission of the multicast information in case of an error and others of the radio terminals being configured to not send the request for retransmission (Column 3 lines 20 – 38), said method comprising: determining whether respective of the radio terminals within the service area is designated as a retransmission-permitted terminal permitted for retransmission of the multicast information (Column 4 lines 16 – 62), and determining by the information delivery apparatus that at least one of the radio terminals receiving the multicast information is predetermined as being the retransmission-permitted terminal permitted for retransmission of the multicast information (Column 3 lines 20 – 26, the transmitting data unit, which is the information delivery apparatus, receives NACKs from the receiving data units, the said NACKs are transmitted by those receiving data units that are permitted to receive retransmissions thus said NACKs will be an indication to said transmitting data unit that at least one of said receiving data units is predetermined as

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being a retransmission permitted data unit thus further enabling said transmitting data unit to determine said retransmission permission); notifying a retransmission designation status to the retransmission-permitted terminal, and delivering, when a request for retransmission of the multicast information sent by one of the radio terminals is received, the multicast information to said one of the radio terminals (Column 4 lines 16-49, the counter limit sent during the preamble is the notification); and changing one of the radio terminals designated as being the retransmission-permitted terminal, to a retransmission-inhibited terminal which is not permitted for retransmission of the multicast information, based on a status of retransmission requests received from the radio terminals (Column 3 lines 20-38).

Marturano does not teach determining by the information delivery apparatus, in accordance with a received level of a control signal sent from each of the radio terminals at a time of initial connection between each radio terminal and the information apparatus, the received level being measured by the information delivery apparatus, that at least one of the radio terminals is predetermined as being the retransmission-permitted terminal permitted for retransmission of the multicast information and changing another of the radio terminals within the service area to a retransmission-permitted terminal based on a status of retransmission requests received from the radio terminals such that correlation between reception errors occurring at the originally designated retransmission-permitted terminal and reception errors occurring at the newly changed retransmission-permitted terminal is reduced by said changing of said one of the originally designated radio terminals to the retransmission-inhibited terminal

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and said changing of said another of the radio terminals to the retransmission-permitted terminal.

Kumar teaches determining by the information delivery apparatus that at least one of the terminals is predetermined as being the retransmission-permitted terminal permitted for retransmission of the multicast information (Column 6 lines 52 – 67, Column 7 lines 1 – 10, lines 66 – 67, Column 8 lines 1 – 4) and changing another of the terminals within the service area to a retransmission-permitted terminal based on a status of retransmission requests received from the terminals (See Columns: 6 lines 52 -67, 7 lines 1 -24, another one of the terminals is selected to be the active receiver which can request retransmission) such that correlation between reception errors occurring at the originally designated retransmission-permitted terminal and reception errors occurring at the newly changed retransmission-permitted terminal is reduced by said changing of said one of the originally designated terminals to the retransmissioninhibited terminal and said changing of said another of the terminals to the retransmission-permitted terminal (See Columns: 6 lines 52 – 67, 7 lines 1 – 24, selecting different terminals as the active receivers enables an efficient use of resources thus eliminating acknowledgement implosion which leads to a reduction in the correlation between reception errors occurring at an original active receiver and reception errors occurring at a newly selected active receiver).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the active receiver selection method taught in Kumar in the multicast system taught in Marturano as an alternative means for eliminating the

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acknowledgement implosion problem associated with multicast transport protocols by making only one receiver responsible for generating acknowledgements and also requesting retransmissions as taught by Kumar.

Schramm teaches receiving a level of a control signal sent from radio terminals at a time of initial connection between said radio terminals and a base station, the received level being measured (Col. 3 lines 34 - 37, control signals are transmitted on the broadcast control channel).

Kumar (Col. 7 lines 66 - 67, Column 8 lines 1 - 4) and Schramm both teach determining the closest transmitter or device thus it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the above method of Schramm as an alternative means for determining the closest device.

Regarding Claim 2, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches the step comprising a step of determining, at the information delivery apparatus, said at least one radio terminal (Column 4 lines 16 – 62); and the retransmission control method further comprises a step of notifying said at least one radio terminal that a request for retransmission is permitted (Column 4 lines 16 – 49, the notification is the counter limit that is sent during the preamble).

Regarding Claim 3, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches a step that comprises a step of determining, at each radio terminal, whether its own terminal is placed in retransmission control (Column 4 lines 16 – 49, since the receiving

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data units receive the counter limit during the preamble said receiving data units know if they will be placed in retransmission control).

Regarding Claim 4, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches the step of determining a plurality of radio terminals to be placed in retransmission control (Column 4 lines 16 – 62).

Regarding Claim 5, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches radio terminals in a service area (Figure 1, Column 2 lines 43 – 52). Kumar further teaches a step of grouping terminals on the basis of unique information assigned to the terminals; and the step determines at least one terminal on the basis of grouping terminals (Figure 4, Figure 5, Figure 9, Figure 10, Column 6 lines 52 – 67, Column 7 lines 1 – 17, Column 7 lines 44 – 67, Column 8 lines 1 – 16, Column 10 lines 27 – 67, Column 11 lines 1 – 15).

Regarding Claim 6, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches a step that determines at least one radio terminal on the basis of a quality of communications between the information delivery apparatus and each of the radio terminals (Column 4 lines 63 – 67, Column 5 lines 1 – 12).

Regarding Claim 7, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches a step that determines at least one radio terminal on the basis of distances between the

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information delivery apparatus and the radio terminals (Column 4 lines 63 – 67, Column 5 lines 1 – 12, the RSSI and the SNR can improve or degrade as the distance changes thus this is an inherent characteristic).

Regarding Claim 8, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches a step that determines at least one radio terminal on the basis of directions of the radio terminals from the information delivery apparatus (Column 4 lines 50 – 62).

Regarding Claim 9, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches a step that determines at least one radio terminal on the basis of moving speeds of the radio terminals (Column 4 lines 50 – 62).

Regarding Claim 11, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 1. Marturano further teaches a step of changing said at least one radio terminal to another radio terminal when said at least one radio terminal terminates reception of the multicast information (Figure 1, Column 2 lines 43 – 52, Column 3 lines 20 – 38, there will be a plurality of receiving data units that have NACK capability thus when one receiving data unit terminates reception there will be other receiving data units with NACK capability that will still be receiving data).

Regarding Claim 12, Marturano teaches an information delivery apparatus for use in a multicast service providing system in which the information delivery apparatus transmits multicast information to radio terminals within a service area of the information

delivery apparatus via a radio section (Figure 1, Column 2 lines 43 – 52, the transmitting data unit is the information delivery apparatus), some of the radio terminals being configured to send a request for retransmission of the multicast information in case of an error and others of the radio terminals being configured to not send the request for retransmission (Column 3 lines 20 – 38), said information delivery apparatus comprising: a first unit configured to determine whether respective of the radio terminals within the service area is designated as a retransmission-permitted terminal permitted for retransmission of the multicast information (Column 4 lines 16 – 62, since the transmitting data unit conducts this function said transmitting data unit comprises an inherent first unit), at least one of the radio terminals receiving the multicast information is configured to be the retransmission-permitted terminal permitted for retransmission of the multicast information (Column 3 lines 20 – 26); a second unit configured to notify a retransmission designation status to the retransmission-permitted terminal, and delivering, when a request for retransmission of the multicast information sent by one of the radio terminals is received, the multicast information to said one of the radio terminals (Column 4 lines 16 – 49, the counter limit sent during the preamble is the notification, since the transmitting data unit conducts this function said transmitting data unit comprises an inherent second unit); and a third unit configured to change one of the radio terminals designated as being the retransmission-permitted terminal, to a retransmission-inhibited terminal which is not permitted for retransmission of the multicast information, based on a status of retransmission requests received from the

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radio terminals (Column 3 lines 20 – 38, since the transmitting data unit conducts this function said transmitting data unit comprises an inherent third unit).

Marturano does not teach said first unit also being configured to determine in accordance with a received level of a control signal sent from each of the radio terminals at a time of initial connection between each radio terminal and the information apparatus, the received level being measured by the information delivery apparatus that at least one of the radio terminals is predetermined to be the retransmission-permitted terminal permitted for retransmission of the multicast information and changing another of the radio terminals within the service area to a retransmission-permitted terminal based on a status of retransmission requests received from the radio terminals such that correlation between reception errors occurring at the originally designated retransmission-permitted terminal and reception errors occurring at the newly changed retransmission-permitted terminal is reduced by said changing of said one of the originally designated radio terminals to the retransmission-inhibited terminal and said changing of said another of the radio terminals to the retransmission-permitted terminal.

Kumar teaches a unit being configured to determine by the information delivery apparatus that at least one of the terminals is predetermined to be the retransmission-permitted terminal permitted for retransmission of the multicast information (Column 6 lines 52 – 67, Column 7 lines 1 – 10, lines 66 – 67, Column 8 lines 1 – 4, See Also Response To Arguments above) and changing another of the terminals within the service area to a retransmission-permitted terminal based on a status of retransmission requests received from the terminals (See Columns: 6 lines 52 – 67, 7 lines 1 – 24,

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another one of the terminals is selected to be the active receiver which can request retransmission) such that correlation between reception errors occurring at the originally designated retransmission-permitted terminal and reception errors occurring at the newly changed retransmission-permitted terminal is reduced by said changing of said one of the originally designated terminals to the retransmission-inhibited terminal and said changing of said another of the terminals to the retransmission-permitted terminal (See Columns: 6 lines 52 - 67, 7 lines 1 - 24, selecting different terminals as the active receivers enables an efficient use of resources thus eliminating acknowledgement implosion which leads to a reduction in the correlation between reception errors occurring at an original active receiver and reception errors occurring at a newly selected active receiver).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the active receiver selection method taught in Kumar in the multicast system taught in Marturano as an alternative means for eliminating the acknowledgement implosion problem associated with multicast transport protocols by making only one receiver responsible for generating acknowledgements and also requesting retransmissions as taught by Kumar.

Schramm teaches receiving a level of a control signal sent from radio terminals at a time of initial connection between said radio terminals and a base station, the received level being measured (Col. 3 lines 34 - 37, control signals are transmitted on the broadcast control channel).

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Kumar (Col. 7 lines 66 - 67, Column 8 lines 1 - 4) and Schramm both teach determining the closest transmitter or device thus it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the above method of Schramm as an alternative means for determining the closest device.

Regarding Claim 13, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 12. Marturano further teaches wherein the first unit determines a plurality of radio terminals to be placed in retransmission control (Column 4 lines 16 – 62).

Regarding Claim 15, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 13. Marturano further teaches a fourth unit managing status of retransmission requests sent by radio terminals placed in the retransmission control, the third unit changing said at least one radio terminal on the basis of the status of retransmission requests managed by the fourth unit (Column 3 lines 20 – 38, the repeated NACKs are a status of the retransmission requests thus there is an inherent fourth unit that manages said NACKs).

Regarding Claim 16, Marturano teaches a radio terminal configured to receive multicast information transmitted from an information delivery apparatus via a radio section (Figure 1, Column 2 lines 43 – 52), said radio terminal comprising: a first unit configured to determine whether the radio terminal is notified from the information delivery apparatus as being a retransmission-permitted terminal which is permitted for retransmission of the multicast information (Column 4 lines 16 – 40, the count limit sent during the preamble to the receiving data unit is the notification thus there is an inherent

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first unit), and at least one of the radio terminals receiving the multicast information is identified by the information delivery apparatus as being the retransmission-permitted terminal permitted for retransmission of the multicast information (Column 3 lines 20 – 26, the transmitting data unit, which is the information delivery apparatus, receives NACKs from the receiving data units, the said NACKs are transmitted by those receiving data units that are permitted to receive retransmissions thus said NACKs will be an indication to said transmitting data unit that at least one of said receiving data units is predetermined as being a retransmission permitted data unit thus further enabling said transmitting data unit to determine said retransmission permission); and a second unit configured to send a request for retransmission of the multicast information to the information delivery apparatus in case of an error when it is determined that the radio terminal is notified as being the retransmission-permitted terminal (Column 4 lines 16 – 49, since the receiving data unit conducts this function said receiving data unit comprises an inherent second unit).

Marturano does not teach said first unit also being configured to determine in accordance with a received level of a control signal sent from each of the radio terminals at a time of initial connection between each radio terminal and the information apparatus, the received level being measured by the information delivery apparatus that at least one of the radio terminals is identified by the information delivery apparatus as being the retransmission-permitted terminal permitted for retransmission of the multicast information and changing another of the radio terminals within the service area to a retransmission-permitted terminal and a second unit configured to send a request for

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retransmission of the multicast information to the information delivery apparatus in case of an error when it is determined that the radio terminal is notified as being the retransmission-permitted terminal such that correlation between reception errors occurring at the originally designated retransmission-permitted terminal and reception errors occurring at the newly changed retransmission-permitted terminal is reduced by said changing of said one of the originally designated radio terminals to the retransmission-inhibited terminal and said changing of said another of the radio terminals to the retransmission-permitted terminal.

Kumar teaches a unit also being configured to determine by the information delivery apparatus that at least one of the terminals is identified by the information delivery apparatus as being the retransmission-permitted terminal permitted for retransmission of the multicast information (Column 6 lines 52 – 67, Column 7 lines 1 – 10, lines 66 – 67, Column 8 lines 1 – 4, See Also Response To Arguments above); changing another of the terminals within the service area to a retransmission-permitted terminal (See Columns: 6 lines 52 – 67, 7 lines 1 – 24, another one of the terminals is selected to be the active receiver which can request retransmission) and a second unit configured to send a request for retransmission of the multicast information to the information delivery apparatus in case of an error when it is determined that the terminal is notified as being the retransmission-permitted terminal such that correlation between reception errors occurring at the originally designated retransmission-permitted terminal and reception errors occurring at the newly changed retransmission-permitted terminal is reduced by said changing of said one of the originally designated terminals to the

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retransmission-inhibited terminal and said changing of said another of the terminals to the retransmission-permitted terminal (See Columns: 6 lines 52 – 67, 7 lines 1 – 24, selecting different terminals as the active receivers enables an efficient use of resources thus eliminating acknowledgement implosion which leads to a reduction in the correlation between reception errors occurring at an original active receiver and reception errors occurring at a newly selected active receiver).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the active receiver selection method taught in Kumar in the multicast system taught in Marturano as an alternative means for eliminating the acknowledgement implosion problem associated with multicast transport protocols by making only one receiver responsible for generating acknowledgements and also requesting retransmissions as taught by Kumar.

Schramm teaches receiving a level of a control signal sent from radio terminals at a time of initial connection between said radio terminals and a base station, the received level being measured (Col. 3 lines 34 - 37, control signals are transmitted on the broadcast control channel).

Kumar (Col. 7 lines 66 - 67, Column 8 lines 1 - 4) and Schramm both teach determining the closest transmitter or device thus it would have been obvious to one of ordinary skill in the art at the time the invention was made to use the above method of Schramm as an alternative means for determining the closest device.

Regarding Claim 17, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 16. Marturano further teaches

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wherein the first unit determines whether its own terminal is placed in retransmission control on the basis of given information sent by the information delivery apparatus (Column 4 lines 16 – 40, the count limit sent during the preamble lets the receiving data unit know whether it will be placed in retransmission control).

Regarding Claim 18, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 16. Marturano further teaches wherein the first unit determines whether its own terminal is placed in retransmission control on the basis of a quality of communications with the information delivery apparatus (Column 4 lines 63 – 67, Column 5 lines 1 – 12).

Regarding Claim 19, Marturano in view of Kumar and in further view of Schramm teaches all of the claimed limitations recited in Claim 16. Marturano further teaches a third unit, which corrects the multicast information by part of the multicast information sent by the information delivery apparatus retransmitted in response to a request for retransmission by the second unit when the first unit determines that its own terminal is placed in retransmission control (Column 4 lines 16 – 49). Kumar further teaches correcting the multicast information by part of the multicast information sent by the information delivery apparatus transmitted in response to a request for retransmission by another terminal when the first unit determines that its own terminal is placed out of retransmission control (Figure 4, Column 6 lines 52 – 67, Column 7 lines 1 – 10, the non active receivers in the group will receive the newly retransmitted packets thus allowing said non active receivers to correct the corrupted packets).

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Conclusion

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Raymond S. Dean whose telephone number is 571-272-7877. The examiner can normally be reached on Monday-Friday 6:00-2:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Edward F. Urban can be reached on 571-272-7899. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Raymond S. Dean December 17, 2007

> EDWARD F. URBAN SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 2600